UTILITY APPLICATION TRANSMITTAL LETTER (Small Entity)	
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Serial Number: UNASSIGNED	Art Unit: UNASSIGNED
Filing Date: <b>December 1, 2000</b>	Examiner: UNASSIGNED
Title: Electronic Purchase and Sale of Securities System and Method	
TO THE ASSISTANT COMMISSIONER FOR PATENTS	
Transmitted herewith is a U.S. Utility Patent Application comprising:  19 pages of Specification including 12 Claims (2 independent, 10 dependent), 1 Page Abstract	
11 Sheets of Drawings	
☐ Other	
This application claims the benefit of U.S. Provisional Application No. 60/168,185, Filed December 1, 1999	
Fees accompany application in the following amount and description	
No fees accompany this application.	
Applicant Claims the benefit of Small Entity Status	
The Commissioner is hereby authorized to charge any additional fees associated with this communication under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to <b>Deposit Account No. 50-0653.</b>	
The Commissioner is hereby authorized to charge Patent filing fees and processingfees to <b>Deposit Account No. 50-0653</b>	

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Date: December 1, 2000

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